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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mitchell A. Avery
Appl. No.: 09/981,312
Filed: October 15, 2001
Confirmation No.: 4970
Docket No.: 1786
Title: **SYNTHESIS OF EPOTHILONES AND RELATED ANALOGS**
Art Unit: 1614
Examiner:
Action: **TRANSMITTAL OF SURCHARGE AND FILING FEE**
Date: February 19, 2002

To: Attention: Box Missing Parts
The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application dated November 19, 2001 enclosed is our check no. 17518 in the amount of \$1,416.00 which includes the surcharge fee of \$65.00, extra claim fee of \$981.00 and filing fee of \$370.00 for completion of this application and a copy of the Notice to File Missing Parts of Application as required by the Notice. Filed concurrently herewith is a Request for One (1) Month Extension of Time, Declaration, Power of Attorney and an Abstract.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

TIMOTHY J. MARTIN, P.C.

By: 
Timothy J. Martin, #28,640
Michael R. Henson, #39,222
Mark H. Weygandt, #43,260
9250 W. 5th Avenue, Suite 200
Lakewood, Colorado 80226
(303) 232-3388



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the foregoing **TRANSMITTAL OF SURCHARGE AND FILING FEE, CHECK NO. 17518 IN THE AMOUNT OF \$1,416.00, INCLUDING COPY OF NOTICE TO FILE MISSING PARTS OF APPLICATION** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Attention: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231, on this 19 day of February, 2002.



Jacklyn K. Burns

Jacklyn K. Burns



UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 26 2001

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/981,312 | 10/15/2001 | Mitchell A. Avery | 1786 |

29003
 TIMOTHY J. MARTIN, P.C.
 9250 W. 5TH AVENUE, SUITE 200
 LAKWOOD, CO 80226



CONFIRMATION NO. 4970
FORMALITIES LETTER



OC000000007093151

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Date Mailed: 11/19/2001

03/08/2002 HMARZI1 0000000409981312 **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01 FC:201
 02 FC:203
 03 FC:202
 04 FC:205

370.00 DP
 477.00 DP
 504.00 DP
 65.00 DP

FILED UNDER 37 CFR 1.53(b)***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1962.
 - \$954 for 53 total claims over 20.
 - \$1008 for 12 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2832.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

O I P E
MAR 5 2002
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Filed: October 15, 2001
Confirmation No.: 4970
Docket No.: 1786
Title: **SYNTHESIS OF EPOTHILONES AND RELATED ANALOGS**
Art Unit: 1614
Examiner:
Action: **TRANSMITTAL OF ABSTRACT**
Date: February 19, 2002

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To: Attention: Box Missing Parts
The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing is an Abstract as needed in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated November 19, 2001.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney.

Respectfully submitted,

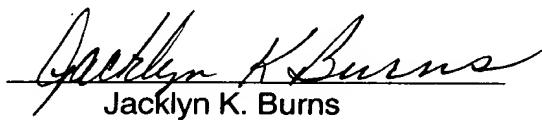
TIMOTHY J. MARTIN, P.C.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the foregoing **TRANSMITTAL OF ABSTRACT** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Attention: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231, on this 19 day of February, 2002.



Jacklyn K. Burns

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Applicant: Mitchell A. Avery
Appl. No.: 09/981,312
Filed: October 15, 2001
Confirmation No.: 4970
Docket No.: 1786
Title: **SYNTHESIS OF EPOTHILONES AND RELATED ANALOGS**
Art Unit: 1614
Examiner:

Action: **TRANSMITTAL OF STATEMENT UNDER 37 C.F.R. 3.73(b) AND
POWER OF ATTORNEY**
Date: February 19, 2002

TO: Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Please find enclosed for filing a statement under 37 C.F.R. 3.73(b), including a copy of the assignment, and a power of attorney executed by the Assignee, The University of Mississippi, in reference to the above-identified patent application.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

TIMOTHY J. MARTIN, P.C.

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MAR 12 2002

TECH CENTER 1600/2900


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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the attached **TRANSMITTAL OF STATEMENT UNDER 37 C.F.R. 3.73(b) AND POWER OF ATTORNEY** is being deposited with the United States Postal service for delivery in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 19 day of February, 2002.

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Appl. No.: 09/981,312
Filed: October 15, 2001
Confirmation No.: 4970
Docket No.: 1786
Title: **SYNTHESIS OF EPOTHILONES AND RELATED ANALOGS**
Art Unit: 1614
Examiner:
Action: **TRANSMITTAL OF DECLARATION**
Date: February 19, 2002

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To: Attention: Box Missing Parts
The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing is the Declaration for Patent Application in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated November 19, 2001.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

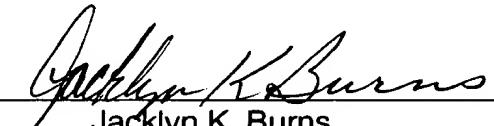
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